



LAIKA: An Open Source Electronic Health Record Testing Framework

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CEM IR&D

Problem

- **United States adoption of Electronic Health Records (EHRs) is poor among developed countries***
 - Netherlands—98% adoption
 - United Kingdom—89% adoption
 - Australia—79% adoption
 - **United States—28% adoption**
- **Data interoperability with current EHR systems in use range from limited to none and is a barrier to broader U.S. adoption**

*http://www.commonwealthfund.org/usr_doc/Press_Release3.pdf?section=4056

Background

- **Accelerating the adoption of interoperable EHRs is in the national interest of the United States, highlighted by President Bush’s 2004 goal that “every American should have an electronic health record by 2014” ***
- **Certification Commission for Healthcare Information Technology (CCHIT) is the sole body recognized by U.S. Health and Human Services for EHR system certification**
- **In the fall of 2007, MITRE and CCHIT agreed to a partnership to create LAIKA—an open source EHR testing framework**

*<http://www.nytimes.com/2007/02/18/washington/18health.html?fta=y>

Objectives

- **Lead the design and development of an open source EHR interoperability testing tool, LAIKA**
- **Provide the LAIKA software and source code for the 2008 CCHIT certification process of U.S. Electronic Health Record systems**
- **Grow an open source community, including government, industry, and academia dedicated to open source Healthcare Information Technology (HIT) tools**

Activities



- Authored memorandum of understanding between MITRE and CCHIT
- Established open source project website <http://projectlaika.org>
- Attended 2008 IHE Connectathon conference with the majority of the United States EHR vendor market
- Presented LAIKA at the 2008 Healthcare Information and Management Systems Society (HIMSS) conference

Highlights




- **LAIKA v1.0 released to the general public in March 2008**
- **Supporting interoperability testing for HL7's Continuity of Care Document (CCD) using HITSP's C32 specification**
- **Initiated discussions among MITRE, CCHIT, Integrating the Healthcare Enterprise (IHE), and the National Institute of Standards and Technology (NIST) to design a plug-in architecture for HIT testing tools**

Demonstration

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Dashboard Library

1904 Birthday Test

Display and File Compliance

Health-i-EHR FAIL

Required Compliance

70% 8 violations ON

Provisional Compliance

15% 42 violations OFF

[hide details](#)

Test Date	12.Nov.07
Test Duration	12m, 30s
Proctor	David Wilkins
Jurors	Patricia McCormack Chris Lefteri
Testee	Dino Flamata

Person Information

Person ID	123456789
Prefix	Dr
Prefix [expected]	Mr
First Name	Robert
Last Name	McCready
Middle Name	Jeremiah
Gender	Male
Marital Status	Married
Race	Caucasian
Ethnicity	Scottish
Street Address	93 Maple St Apartment 4C
City	Gardner
State	MA
Postal Code	0144A
Postal Code [expected]	0144A
Country	US
Home Phone	978.555.7936
Work Phone	781.271.7102
Mobile Phone	781.555.7120
Vacation Home Phone	781.555.2062
Email	rob@mccready.ws
URL	www.mccready.ws

Languages

English	Spoken, Receive, Written
Mandarin	Spoken
German	Written
American Sign Language	N/A

CCD/C32 Modules violations

Person Information	2
Language Spoken	
Support	3
Healthcare Provider	
Insurance Provider	
Allergies and Drugs	1
Condition	1
Medications	
Pregnancy	
Information Source	
Comments	1
Advance Directive	1

Impacts



- LAIKA will be used by CCHIT to certify U.S. EHR systems for interoperability starting in July 2008
- IHE planning on using LAIKA at the 2009 Connectathon for interoperability testing of numerous EHR systems
- Demonstrating a new approach for MITRE to transfer knowledge by leading active open source projects



Future Plans

- Expanding the users, contributors, and governance of LAIKA to include other organizations from government, industry, and academia
- Designing enhancements to LAIKA to eliminate paper from the 2009 certification process with the open source Lime Survey  project
- Investigating the mobile platform to augment data collection

CCHIT Ambulatory Functionality 2007 Final Criteria

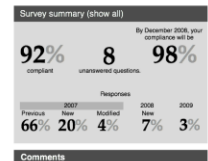
16 March 2007
For Certification of Ambulatory EHRs
The Certification Commission for Healthcare Information Technology

Category and Description

Identify and maintain a patient record:
Key identifying information is stored and linked to the patient record. Both static and dynamic data elements will be maintained. A look-up function uses this information to uniquely identify the patient.

Specific Criteria	Reference	Compliance 2007	2008	2009
1 The system shall create a single patient record for each patient.	DC.1.1.1	<input type="button" value="Prev"/> <input type="button" value="New"/> <input type="button" value="Mod"/>	<input type="button" value="New"/>	<input type="text"/>
2 The system shall associate (store and link) key identifier information (e.g., system ID, medical record number) with each patient record.	DC.1.1.1	<input type="button" value="Prev"/> <input type="button" value="New"/> <input type="button" value="Mod"/>	<input type="button" value="New"/>	<input type="text"/>
3 The system shall provide the ability to store more than one identifier for each patient record.	DC.1.1.1	<input type="button" value="Prev"/> <input type="button" value="New"/> <input type="button" value="Mod"/>	<input type="button" value="New"/>	<input type="text"/>
4 The system shall use key identifying information to identify (look up) the unique patient record	DC.1.1.1	<input type="button" value="Prev"/> <input type="button" value="New"/> <input type="button" value="Mod"/>	<input type="button" value="New"/>	<input type="text"/>
5 The system shall provide more than one means of identifying (looking up) a patient.	DC.1.1.1	<input type="button" value="Prev"/> <input type="button" value="New"/> <input type="button" value="Mod"/>	<input type="button" value="New"/>	<input type="text"/>
6 The system shall provide a field which will identify patients as being exempt from reporting functions.	DC.1.1.1	<input type="button" value="Prev"/> <input type="button" value="New"/> <input type="button" value="Mod"/>	<input type="button" value="New"/>	<input type="text"/>
7 The system shall provide the ability to merge patient information from two patient records into a single patient record.	DC.1.1.1	<input type="button" value="Prev"/> <input type="button" value="New"/> <input type="button" value="Mod"/>	<input type="button" value="New"/>	<input type="text"/>

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Key identifier information must be unique to the patient record but may take any system defined internal or external form.

For interoperability, practices need to be able to store additional patient identifiers. Examples include an ID generated by an Enterprise Master Patient Index, a health plan or insurance subscriber ID, regional and/or national patient identifiers if/when such become available.

Examples of identifiers for looking up a patient include date of birth, phone number.

Examples include patients who are deceased, transferred, moved, seen as consults only. Being exempt from reporting is not the same as de-identifying a patient who will be included in reports. De-identifying patients for reporting is addressed in the "Health record output" functionality.

If a duplicate chart is created, information could be merged into one chart.

